



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

## BIB DATA SHEET

CONFIRMATION NO. 3644

|  |   |  |                               |   |                           |                                |
|--|---|--|-------------------------------|---|---------------------------|--------------------------------|
| <b>SERIAL NUMBER</b><br>10/014,864   | <b>FILING or 371(c)<br/>DATE</b><br>12/11/2001<br><b>RULE 1.47</b>  | <b>CLASS</b><br>705                                      | <b>GROUP ART UNIT</b><br>3693 | <b>ATTORNEY DOCKET NO.</b><br>ICOR-004  |                           |                                |
| <b>APPLICANTS</b><br>Michael J. Tari, New York, NY;<br>Angelo M. Togli, Cos Cob, CT;<br>Christopher J. Dias, Bloomfield, NJ;<br>Darius Gagne, New York, NY;<br>Michiya Handa, New York, NY;<br>Neil A. Chriss, New York, NY;<br>Jeffrey R. Larsen, Greenwich, CT;                |   |  |                               |   |                           |                                |
| <b>** CONTINUING DATA *****</b><br>This appln claims benefit of 60/254,680 12/11/2000  |   |  |                               |   |                           |                                |
| <b>** FOREIGN APPLICATIONS *****</b>   |   |  |                               |   |                           |                                |
| <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED **</b><br>01/23/2002   |   |  |                               |   |                           |                                |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and Acknowledged <u>/JOCELYN GREIMEL/</u><br>Examiner's Signature |   | <input type="checkbox"/> Met after Allowance<br>Initials | <b>STATE OR COUNTRY</b><br>NY | <b>SHEETS DRAWINGS</b><br>9   | <b>TOTAL CLAIMS</b><br>14 | <b>INDEPENDENT CLAIMS</b><br>4 |
| <b>ADDRESS</b><br>PATENT DEPARTMENT<br>SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP<br>FOUR TIMES SQUARE<br>NEW YORK, NY 10036<br>UNITED STATES  |   |  |                               |   |                           |                                |
| <b>TITLE</b><br>METHOD AND SYSTEM FOR MANAGING REQUESTS FOR PROPOSALS FOR ELECTRONIC TRADING OF FINANCIAL INSTRUMENTS  |   |  |                               |   |                           |                                |
| <b>FILING FEE RECEIVED</b><br>954  | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |  |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |                           |                                |